

ANSAL BUILDWELL LTD.

(AN ISO 9001:2008 CERTIFIED COMPANY)

7th July, 2021

The Stock Exchange, Mumbai
Corporate Relationship Department,
1st Floor, New Trading Ring,
Rotunda Building, P.J. Towers,
Dalal Street, Mumbai – 400 001

Company Scrip Code : 523007

Sub: Secretarial Compliance Report of Ansal Buildwell Limited for the year ended 31st March, 2021

Please find enclosed herewith Secretarial Compliance Report of Ansal Buildwell Limited for the year ended 31st March, 2021 pursuant to Circular No. CIR/CFD/CMD1/27/2019 dated February 08, 2019. This is for your information and record.

We hope you will find the above information in order.

Thanking you,

Yours faithfully,
For Ansal Buildwell Ltd.


(Ashok Babu)
Sr. VP & Company Secretary

Encl: a/a.



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E-mail : info@ansalabl.com, Website : www.ansalabl.com
CIN : L45201DL1983PLC017225



KAPAH AND ASSOCIATES

(COMPANY SECRETARIES)

FLAT NO. 7C, EVERSHINE APARTMENTS, VIKAS PURI, NEW DELHI - 110018

E-Mail : kapahassociates@yahoo.com

To

M/S ANSAL BUILDWELL LTD
CIN : L45201DL1983 PLC 017225
118,UPPER FIRST FLOOR
PRAKASHDEEP BUILDING
7, TOLSTOY MARG
NEW DELHI- 110001

**SUB: SECRETARIAL COMPLIANCE REPORT OF ANSAL BUILDWELL LIMITED FOR
THE YEAR ENDED 31.03.2021**

We, M/s. Kapahi and Associates, Company Secretaries, have examined:

- (a) All the documents and records made available to us and explanation provided by Ansal Buildwell Limited ("the Listed Entity").
- (b) The filings/ submissions made by the listed entity to the stock exchanges,
- (c) Website of the listed entity i.e. www.ansalabl.com
- (d) Any other documents/filing, as may be relevant, which has been relied upon to make this certification,

For the year ended 31st March, 2021 ("Review Period") in respect of compliance with the provisions of:

- (a) The Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) The Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, Circulars, Guidelines issued thereunder by the Securities and Exchange Board of India (" SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; (Not applicable to the listed entity during the Review Period)
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018 (Not applicable to the listed entity during the Review Period);
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014 (Not applicable to the listed entity during the Review Period)
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; (Not applicable to the listed entity during the Review Period)
- (g) Securities and Exchange Board of India (Issue and Listing of Non- Convertible and Redeemable Preference Shares) Regulations, 2013; (Not applicable to the listed entity during the Review Period)

- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
and circulars/ guidelines issued thereunder;**

Based on the above examination, we, hereby report that, during the Review Period:

- a) **The Listed Entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:**

1. *Mr. Ravinder Kumar Jain was appointed as the CFO of the Company with effect from 15th April 2020, subject to approval of his appointment in the next Board Meeting. In terms of Regulation 30 of SEBI (Listing Obligations and Disclosures Requirement) Regulations, 2015; disclosure regarding change in Key Managerial Personnel has to be given to stock exchange within 24 hours of the occurrence of the event or information. The same was disclosed on 11th May, 2020 after the said period of 24 hours, as explained that the office was closed due to Lockdown and Covid-19.*
2. *As per Regulation 31 of SEBI (Listing Obligations and Disclosures Requirement) Regulations 2015 read with SEBI circular No. SEBI/HO/CFD/CMD1/CIR/P/2020/38 dated 19th March 2020, shareholding pattern was filed after the prescribed period of 15th May 2020 on 26th May 2020 for the quarter ended 31st March, 2020, as explained that the office was closed due to Lockdown and Covid-19.*
3. *As per Regulation 27 of SEBI (Listing Obligations and Disclosures Requirement) Regulations 2015 read with SEBI circular No. SEBI/HO/CFD/CMD1/CIR/P/2020/38 dated 19th March, 2020, corporate governance has been filed after the prescribed period of 15th May, 2020 on 21st May, 2020 for the quarter ended 31st March, 2020, as explained that the office was closed due to Lockdown and Covid-19.*

- b) **The Listed Entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder in so far as it appears from my/ our examination of those records.**

- c) **The following are the details taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:**

S.No.	Action taken by	Details of violation	Details of action taken e.g. fines, warning letters, debarment etc.	Observations/ remarks of the Practicing Company Secretary, if any.
Not Applicable				

- d) **The Listed Entity has taken the following actions to comply with the observations made in previous reports:**

S.No.	Action taken by	Details of violation	Details of action taken e.g. fines, warning letters, debarment etc.	Observations/ remarks of the Practicing Company Secretary, if any.
Not Applicable				

It should be noted that in view of second wave of COVID-19 pandemic has resulted in many restrictions, including free movement of people due to which we were not able to personally visit the place and verify/examine the documents, we have reasonably ensured that all the documents have been provided by electronic mode.

For KAPAH AND ASSOCIATES
COMPANY SECRETARIES



(Surrinder Kishore Kapahi)
PROPRIETOR
FCS No.1407
C P No.1118

DATED : 05.07.2021
PLACE : NEW DELHI

UDIN : F001407C000578601