

ANSAL BUILDWELL LTD.

(AN ISO 9001:2008 CERTIFIED COMPANY)

21st July, 2020

The Stock Exchange, Mumbai
Corporate Relationship Department,
1st Floor, New Trading Ring,
Rotunda Building, P.J. Towers,
Dalal Street, Mumbai – 400 001

Company Scrip Code : 523007

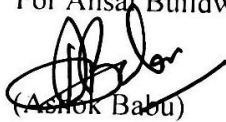
Sub: Secretarial Compliance Report of Ansal Buildwell Limited for the year ended 31st March, 2020

Please find enclosed herewith Secretarial Compliance Report of Ansal Buildwell Limited for the year ended 31st March, 2020 pursuant to Circular No. CIR/CFD/CMDI/27/2019 dated February 08, 2019. This is for your information and record.

We hope you will find the above information in order.

Thanking you,

Yours faithfully,
For Ansal Buildwell Ltd.



(Ashok Babu)
Sr. VP & Company Secretary

Encl: a/a.



**ANSAL
BUILDWELL**

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Ph. : +91-11-23353051, 23353052, Fax : +91-11-23310639, 23359550
E-mail : info@ansalabi.com, Website : www.ansalabi.com
CIN : L45201DL1983PLC017225



KAPAH AND ASSOCIATES

(COMPANY SECRETARIES)

FLAT NO. 7C, EVERSINE APARTMENTS, VIKAS PURI, NEW DELHI - 110018

E-Mail : kapahiassociates@yahoo.com

SECRETARIAL COMPLIANCE REPORT OF ANSAL BUILDWELL LIMITED FOR THE YEAR ENDED 31.03.2020

We, M/s. Kapahi and Associates, Company Secretaries, have examined:

- (a) All the documents and records made available to us and explanation provided by Ansal Buildwell Limited ("the Listed Entity").
- (b) The filings/ submissions made by the listed entity to the stock exchanges,
- (c) Website of the listed entity i.e. www.ansalabl.com
- (d) Any other documents/filing, as may be relevant, which has been relied upon to make this certification,

For the year ended 31st March, 2020 ("Review Period") in respect of compliance with the provisions of:

- (a) The Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) The Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, Circulars, Guidelines issued thereunder by the Securities and Exchange Board of India (" SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Examination Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; (Not applicable to the listed entity during the Review Period)
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018 (Not applicable to the listed entity during the Review Period);
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014 (Not applicable to the listed entity during the Review Period)
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; (Not applicable to the listed entity during the Review Period)
- (g) Securities and Exchange Board of India (Issue and Listing of Non- Convertible and Redeemable Preference Shares) Regulations, 2013; (Not applicable to the listed entity during the Review Period)
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
and circulars/ guidelines issued thereunder;

Based on the above examination, we, hereby report that, during the Review Period:

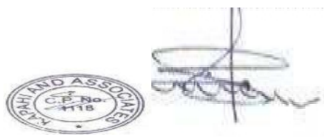
- a) The Listed Entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, *except in respect of matters specified below:*
- i) *Although the Board of Director is duly constituted. However, consequent upon resignation of Mr. Arun Kumar Pandey (Chief Financial Officer) with effect from 30th September, 2019, the composition of Key Managerial Personnel is not adequate.*
- ii) *In accordance with SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with relaxations provided due to COVID-19 via Circular No. SEBI/HO/CMD1/CIR/P/2020/38, there were few instances where the Company had submitted the disclosure to the Stock Exchange beyond the prescribed time relating to Regulations 23(9), 27, 30, 31 and Initial Disclosure in terms of Circular No. LIST/COMP/05/2019-20.*
- b) The Listed Entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder in so far as it appears from my/ our examination of those records.
- c) The following are the details taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

S.No.	Action taken by	Details of violation	Details of action taken e.g. fines, warning letters, debarment etc.	Observations/ remarks of the Practicing Company Secretary, if any.
Not Applicable				

- d) The Listed Entity has taken the following actions to comply with the observations made in previous reports:

S.No.	Action taken by	Details of violation	Details of action taken e.g. fines, warning letters, debarment etc.	Observations/ remarks of the Practicing Company Secretary, if any.
Not Applicable				

For KAPAHI AND ASSOCIATES
COMPANY SECRETARIES



(Surrinder Kishore Kapahi)
PROPRIETOR

DATED : 17.07.2020
PLACE : NEW DELHI

FCS No.1407
C P No.1118
UDIN: F001407B000469413